Connected-Autonomous Vehicles (CAV): Background and Opportunities

Dr. Mohamed Abdel-Aty, P.E.
Trustee Chair
Pegasus Professor
Chair, Dept. of Civil, Environmental & Construction Engineering

University of Central Florida
What are Connected and Autonomous Vehicles (CAV) Technologies?

Connected Technology
①+②+③
Feel by Vehicle, Control by Man

Autonomous Technology
④
Feel by Vehicle, Control by Vehicle Itself.

= CAV Technologies

Traffic Signal System at TMC or Adaptive traffic system in Field

Roadside Equipment Unit

At Intersections:
Manipulated by V2I detection;
At Non-Intersections:
Manipulated by AV detection;

Detect driving Environment, control the vehicle autonomously

Communicate with another vehicle:
Information including movement dynamics such as speed, heading, brake status
Why CV Technology could be helpful?

① V2I Safety Benefits

Help a driver know Road Conditions like downstream congestion, speed limit on a curve, signal status, stop sign and pedestrian crosswalks, so that the driver could adjust his/her driving speed, awareness or travel route and so on to avoid a potential crash or congestion.

Examples of V2I Technology Warning Pre-crash Scenario

<table>
<thead>
<tr>
<th>Scenario and Warning Type</th>
<th>Scenario example</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Road departure collision scenarios</strong></td>
<td>Curve speed warning</td>
</tr>
<tr>
<td></td>
<td>Approaching a curve or ramp at an unsafe speed or decelerating at insufficient rates to safely maneuver the curve</td>
</tr>
<tr>
<td><strong>Crossing path collision scenarios</strong></td>
<td>Running red light/stop sign</td>
</tr>
<tr>
<td></td>
<td>Violation at an intersection controlled by a stop sign or by traffic signal</td>
</tr>
</tbody>
</table>
Help a driver know an unobservable presence or an unpredictable movement of another vehicle in pre-crash scenarios, so that an evasive action by the driver could be made in advance.

### V2V Safety Benefits

#### Examples of V2V Technology Warning Pre-crash Scenario

<table>
<thead>
<tr>
<th>Scenario and warning type</th>
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<tbody>
<tr>
<td><strong>Rear end collision scenarios</strong></td>
<td></td>
</tr>
<tr>
<td>Forward collision warning</td>
<td><img src="image" alt="Forward collision warning" /></td>
</tr>
<tr>
<td>Approaching a vehicle that is decelerating or stopped.</td>
<td></td>
</tr>
<tr>
<td>Emergency electronic brake light warning</td>
<td><img src="image" alt="Emergency electronic brake light warning" /></td>
</tr>
<tr>
<td>Approaching a vehicle stopped in roadway but not visible due to obstructions.</td>
<td></td>
</tr>
<tr>
<td><strong>Lane change scenarios</strong></td>
<td></td>
</tr>
<tr>
<td>Blind spot warning</td>
<td><img src="image" alt="Blind spot warning" /></td>
</tr>
<tr>
<td>Beginning lane departure that could encroach on the travel lane of another vehicle traveling in the same direction: can detect vehicles not yet in blind spot.</td>
<td></td>
</tr>
<tr>
<td>Do not pass warning</td>
<td><img src="image" alt="Do not pass warning" /></td>
</tr>
<tr>
<td>Encroaching onto the travel lane of another vehicle traveling in opposite direction: can detect moving vehicles not yet in blind spot.</td>
<td></td>
</tr>
<tr>
<td><strong>Intersection scenario</strong></td>
<td></td>
</tr>
<tr>
<td>Blind intersection warning</td>
<td><img src="image" alt="Blind intersection warning" /></td>
</tr>
<tr>
<td>Encroaching onto the travel lane of another vehicle with whom driver is crossing paths at a blind intersection or an intersection without a traffic signal.</td>
<td></td>
</tr>
</tbody>
</table>

Source: GAO analysis of Crash Avoidance Metrics Partnership information.
Why could CV Technology be helpful?

③ V2P* Safety Benefits

Help the driver and pedestrian be aware of the presence of each other, so that we prevent or mitigate a potential vehicle-pedestrian collision.

V2P*:
- at non-intersection locations, V2P is operated by AV detectors and sensors;
- At intersection locations, V2P could also be operated by V2I detectors and sensor.

Examples of V2P Technology Warning Pre-crash Scenario

Source: Swanson et al. 2016
Why could CAV Technology be helpful?

4. AV Safety Benefits

Help perform driving controls effectively without the constraint of driver inputs.

Six levels of automation (SAE, 2014):

- Level 0: No Automation
- Level 1: Driver Assistance
- Level 2: Partial Automation
- Level 3: Conditional Automation
- Level 4: High Automation
- Level 5: Full Automation

Critical Causal Factors for Light Vehicle Crashes

Source: Rau et al. 2015

Driver error

Driver Physiological condition

Automation Intervention Opportunity?

Vehicle Factor, 2.5

Ill, 4.5

Asleep, 3.5

Drunk, 6

Erratic Action, 8.5

Decision Error, 23.3

Recognition Error, 43.6

Road Surface, 8

Atmospheric Visibility, 0.1

Source: Rau et al. 2015
Research work of CV&DA for Safety Benefits

Over **30** types of CV&DA technologies

Over **15** types of CV & DA technologies are tested and proved to be able to reduce crash events directly, targeting at over **23** pre-crash scenarios.

Over **6** types of CV& DA technologies are tested and proved to be able to improve driver performance like speed/headway control, which indirectly reduce crash events.

**37** pre-crash scenarios of **Total** vehicle crashes

Our Research Efforts

- General crash avoidance effectiveness estimation
- Crash reduction prediction
- CV technology and its safety benefits under Fog Conditions and Reduced Visibility Conditions
Driving Simulator Experiment

- Forward Collision Warning (FCW)
- The front car makes an emergency stop under fog conditions
- “Slow Vehicle Ahead” warning through Heads-up Display (HUD)
Scenario in Driving Simulator

Front vehicle suddenly decreases its speed under fog conditions
Fog Ahead Warning (I2V)
Curve Ahead Warning (I2V)
Microsimulation, such as VISSIM, can be used to model connected vehicle behavior between vehicle to vehicle (V2V) and vehicle to infrastructure (V2I) in reduced visibility conditions.

Vehicle to Vehicle (V2V)

Slow vehicle ahead ➔ Decelerate and maintain a safe gap
Controlled by VISSIM driver model through API
CVs were also implemented as a platooning concept (CVPL), wherein several vehicles form a “platoon” that behaves as a single unit.

Joining of CVs to maintain a platoon.
Effect of CAV for Different MPRs

Figure shows the decreasing trend of standard deviation of speed and standard deviation of headway for CVWPL and CVPL approaches with increasing MPRs. As seen from the figure, the higher the percentage of the CVs implemented, the lower were the standard deviations of speed and headway.

Reduction of surrogate measures of safety with different MPRs
### Optimized HUD Design under CV

- **Scenario:** Rear-end crash risk = Low

<table>
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<tr>
<th>Recommended HUD Design</th>
<th>Driving Scenarios</th>
<th>Design Description</th>
</tr>
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<tbody>
<tr>
<td><img src="image" alt="HUD Design" /></td>
<td><strong>Weather:</strong></td>
<td>3 Information:</td>
</tr>
<tr>
<td></td>
<td>• Dense fog</td>
<td>• Distance between two vehicles in real-time = “numerical distance value in yellow text”</td>
</tr>
<tr>
<td></td>
<td><strong>Rear-end crash risk (based on real-time prediction):</strong></td>
<td>• Location of front vehicle in real-time = “bar marking with narrow squared stripes in light blue color”</td>
</tr>
<tr>
<td></td>
<td>• Low</td>
<td>• Speed of front vehicle in real-time = “numerical speed value of front vehicle in yellow text”</td>
</tr>
<tr>
<td></td>
<td><strong>Traffic condition:</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Two vehicles keeping a safe distance</td>
<td></td>
</tr>
</tbody>
</table>
### Optimized HUD Design under CV

- **Scenario:** Rear-end crash risk = **High**

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<td></td>
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</tbody>
</table>

#### 3 Information:

- **Weather:**
  - Dense fog

- **Rear-end crash risk (based on real-time prediction):**
  - High

- **Traffic condition:**
  - Front vehicle suddenly decelerates

- **Location of front vehicle in real-time:**
  - “bar marking with narrow squared stripes in light blue color”

- **Speed of front vehicle in real-time:**
  - “numerical speed value of front vehicle in yellow text”
Crash Avoidance Effectiveness for CV&DA
Summary of Research reports and Papers From 2007-2017: seventeen connected vehicle technologies (CV) and driving assistive technologies (DA) targeted at six pre-crash types including 23 pre-crash scenarios.

<table>
<thead>
<tr>
<th>CV&amp;DA Technology</th>
<th>Automation Level(SAE)</th>
<th>Target Pre-Crash Type and Pre-Cash Scenarios</th>
</tr>
</thead>
<tbody>
<tr>
<td>Forward Collision Warning (FCW,CV/DA), Collision Warning System (CWS, DA)</td>
<td>0</td>
<td>Rear-End: 1. Lead Vehicle Stopped</td>
</tr>
<tr>
<td>Adaptive Cruise Control(ACC, DA)</td>
<td>1</td>
<td>2. Following Vehicle Making a Maneuver</td>
</tr>
<tr>
<td>Electronic Stability Control (ESC,DA)</td>
<td>1</td>
<td>Backing: 8. Backing Up into Another Vehicle</td>
</tr>
<tr>
<td>Rearview Cameras (RCA,DA)</td>
<td>0</td>
<td>Lane Change Warning (LCW,DA)</td>
</tr>
<tr>
<td>Blind Spot Warning (BSW,CV)</td>
<td>0</td>
<td>0 Crossing Paths: 12. Left Turn Across Path from Opposite Directions at Non-Signalized Junctions, 13. Left Turn Across Path from Opposite Directions at Signalized Junctions</td>
</tr>
<tr>
<td>Lane Change Warning (LCW,DA)</td>
<td>0</td>
<td>Intersection Movement Assist (IMA,CV)</td>
</tr>
<tr>
<td>Intersection Movement Assist (IMA,CV)</td>
<td>0</td>
<td>Run-Off-Road: 21. Road Edge Departure With Prior Vehicle Maneuver 22. Road Edge Departure Without Prior Vehicle Maneuver</td>
</tr>
<tr>
<td>Pedestrian Crash Avoidance and Mitigation System(PCAM,DA)</td>
<td>1</td>
<td>23. Road Edge Departure While Backing Up</td>
</tr>
<tr>
<td>Lane Departure Warning(LDW,DA)</td>
<td>0</td>
<td>Curve Speed Warning(CSW,CV)</td>
</tr>
</tbody>
</table>
The CV&DA technology performs better for heavy trucks than on light vehicles.

Heavy truck drivers may be more cautious and more complying to CV&DA Warnings.
No tested CV&DA technology whose crash avoidance effectiveness is over 70%.

Safety effectiveness could depend on five types of factors:

- technology-based factors
- vehicle-based factors
- environment-based factors
- driver-based factors
- estimation methodology based-factors
Crash Avoidance Effectiveness for CV& DA : Prediction For Light Vehicles*

Avoid **32.99%** of all light vehicle crash

Light vehicle crash reduction of each crash type

**Total light vehicle crash numbers: 5,356,000**

* For 17 CV/DA technologies; Under the conservative scenario, based on 2005-2008 GES crash records; 100% CV/DA penetration
Crash Avoidance Effectiveness for CV& DA : Prediction For Heavy Trucks*

Avoid \(40.88\%\) of all heavy truck crash

Total Heavy truck crash numbers: 375,000

Heavy truck crash reduction of each crash type

* For 17 CV/DA technologies; Under the conservative scenario, based on 2005-2008 GES crash records; 100% CV/DA penetration
Conclusions
Conclusions

- The CV technology package, e.g. FCW+AEB, FCW+ Autobrake, CMBS, which target rear end crashes could be made as the first priority of deployment, because of its largest crash reduction compared with other CV&DA technologies.
- The CV technology package, e.g. Left Turn Assist (LTA,CV) and Intersection Movement Assist (IMA,CV), which target crossing paths crashes could be made as the first priority of deployment, because of its largest crash reduction compared with other CV&DA technologies.
- FCW under fog weather results show a crash avoidance effectiveness of 35%.
- The crash reduction rate of 15% - 70% is expected from most CV&DA technologies.
- CV&DA technologies could improve both traffic safety and traffic efficiency, while Active Traffic Management (ATM) strategies could be deployed to further improve safety under CV&DA environment.
- Different designs for HUD under CV are needed based on the condition.
THANK YOU

Dr. Mohamed Abdel-Aty

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