Agenda

• FTA’s Safety Oversight Goal
• State Safety Oversight (SSO) Certification Process
• SSO Certification
• Outreach
• Questions
FTA’s Safety Oversight Goal

Continue to improve and strengthen SSO programs for oversight of rail transit operations.
SSO Certification Process
SSO Program Background

- Legislation was Enacted
- The FTA’s Role
- The FTA’s Process
- Part 674 Certification
Application Review Process

1. Receive Application
2. Perform application completeness review
3. Perform qualitative application review
4. Attain necessary FTA Leadership approvals

If "No" at step 4, Technical Assistance Ongoing.

If "Yes" at step 4, Issue certification.
SSO Certification
# Deadlines

<table>
<thead>
<tr>
<th>Deadlines</th>
<th>Dates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Compliance Deadline</td>
<td>April 15, 2019</td>
</tr>
<tr>
<td>Submission Deadline</td>
<td>September 30, 2018</td>
</tr>
<tr>
<td>Preferred Submission Date</td>
<td>April 15, 2018</td>
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</tbody>
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Financial Penalty

What if a state misses the April 15, 2019 deadline?

• FTA can’t obligate financial assistance. Funds must be withheld until SSOP is certified by FTA.

• State-wide impact
  – Applies to all Chapter 53 grant recipients
Certification Status Table

• Status table tracks each State’s certification status
  – Updated as new States are certified

• Inquiries?
  – Contact State’s SSO manager
## States Requiring State Legislative or Executive Action

<table>
<thead>
<tr>
<th>States</th>
<th>Estimated FTA Formula Funding (FY2019)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Illinois</td>
<td>$609,101,428</td>
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<tr>
<td>Michigan</td>
<td>$142,597,929</td>
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<td>New York</td>
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<td>Oklahoma</td>
<td>$52,170,951</td>
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<td>Puerto Rico</td>
<td>$74,078,304</td>
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<td>Tennessee</td>
<td>$92,833,519</td>
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</tbody>
</table>
What FTA is doing for SSOAs

• $112 million in grant funds (since FY2013)
• Technical assistance workshops
• Monthly one-on-one calls
• Quarterly conference calls
• Certification toolkit
• Site visits
What MPOs can do?

• Be aware of the statutory deadline
  – April 15, 2019

• Support your State in its certification efforts
Outreach

• Transit Safety and Oversight Spotlight:
  – FTA’s monthly safety newsletter
  – Features certification status updates
  – Subscribe: https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new
Questions?

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